



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on August 24, 2001 in an envelope as First Class Mail, addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Pat Jato
SECRETARY

Attorney Docket No.: CALMP016

First Named Inventor: Spielman, et al

Application No. 09/828,311

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

☐ Duplicate for
fee processing

Sir: This is a Response to Notice to File Missing Parts in a patent application in the name of inventors:

Steven R. Spielman

Yung Cheng Lo

David C. Lee

Judith C. Powelson

08/27/2001 MABDI1 00000010 09828311

05 FC:115

110.00 DP

For: **DIGITAL AUTOMATIC GAIN CONTROL OF A MULTILEVEL OPTICAL DISC READ SIGNAL**

Application Elements:



Declaration



Newly executed (original or copy)



Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).

The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.



Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:



Information Disclosure Statement with Form PTO-1449



Copies of IDS Citations



Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)



Return Receipt Postcard

☐ Verified Statement Claiming Small Entity Status filed in prior application. Status still proper and desired.

☐ Other:

Amendments

☐ Cancel in this application original claims _____ of the prior application before calculating the filing fee. (*At least one original independent claim must be retained.*)

Fee Calculation (37 CFR § 1.16)

	(Col. 1) <u>NO. FILED</u>	(Col. 2) <u>NO. EXTRA</u>	<u>RATE</u>	<u>FEE</u>	<u>SMALL ENTITY</u>	<u>OR</u>	<u>LARGE ENTITY</u>
BASIC FEE				\$355	\$	OR	\$710 \$
TOTAL CLAIMS	_____ -20 = _____			x9	=\$	OR	x18 = \$
INDEP CLAIMS	_____ -03 = _____			x40	=\$	OR	x80 = \$
[] Multiple Dependent Claim Presented				\$130	=\$	OR	\$260 = \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.				Total	\$	OR	Total \$

☒ Applicants petition for a one (1) month extension of time to respond under 37 CFR § 1.136(a).

☒ Check No. 0322 in the amount of \$1,422.00 is enclosed.

General Authorizations

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for this or any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0685 (Order No. CALMP016).

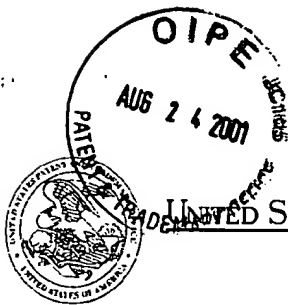
☒ The Commissioner is given general authorization to charge any fees or to credit any overpayment during the pendency of this application to Deposit Account No. 50-0685 (Order No. CALMP016).

☒ Please send correspondence to the following address:

Customer No. 21912
VAN PELT & YI LLP
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Los Altos, CA 94022

Date: 8/20/2001

William J. James
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Reg. No. 40,661



#3

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/828,311	04/06/2001	Yung-Cheng LO	CALMP016

CONFIRMATION NO. 7764

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FORMALITIES LETTER



OC00000006150461

Date Mailed: 06/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/27/2001 HADDI1 00000010 09828311

FILED UNDER 37 CFR 1.53(b)

01 FC:101	710.00 OP
02 FC:102	400.00 OP
03 FC:103	72.00 OP
04 FC:105	130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$472.
 - \$72 for 4 total claims over 20.
 - \$400 for 5 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1312.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE